

LG INDEPENDENCE CHECK FORM

PREPARED BY: CORPORATE FINANCE	DOC ID: LG-03-CGC07	VERSION: 1.1
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Name of Employee:	
Position:	
Entity:	

Completion of this form provides data for determining that the practice is complying with the LGSE independence requirements.

Yes* No

- Do you have a direct or indirect material financial interest in a customer/supplier or its subsidiaries/affiliates?
- Do you have a financial interest in any competitors, investees or affiliates of a customer/supplier?
- Do you have any outside business relationship with a customer/supplier or an officer, director or principal shareholder having the objective of financial gain?
- Do you owe any customer/supplier any amount, except as a normal customer, or in respect of a home loan under normal lending conditions?
- Do you have the authority to sign cheques for a customer/supplier?
- Are you connected with a customer/supplier as a promoter, underwriter or voting trustee, director, officer or in any capacity equivalent to a member of management or an employee?
- Do you serve as a director, trustee, officer or employee of a customer/supplier?
- Has your spouse or minor child been employed by a customer/supplier?
- Has anyone in your family been employed in any managerial position by a customer/supplier?
- Are any billings delinquent for customer/suppliers that are your responsibility?
- Have you received any benefits such as gifts or hospitality from a customer/supplier that are not commensurate with normal courtesies of social life?
- Are there any other independence issues that you believe are relevant to disclose?

Additional information/comments:

****Note: if you answered 'YES' to any of the answers above, please also complete the LG Independence Resolution Memorandum.***

Signature of Employee:	Date:
Signature of Employer:	Date: